JC07 Rec'd PCT/PTO 0 5 MAY 2005

Approved for use through 3/31/2007. OMB 0651-0021

## U.S. Patent and Trademark Office; U.S. DEPARTMNT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 49-001-TN U.S. APPLICATION NO. (If known. s DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE **26 AUGUST 2003 13 NOVEMBER 2002** PCT/EP2003/009419 TITLE OF INVENTION: SHRINKING DEVICE APPLICANT(S) FOR DO/EO/US: **Christian PFAU** Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items 3. X (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. X is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. X have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. X 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 15. 16. X A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491–1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

20. Other items or information:

JC06 Rec'd PCT/PTO 05 MAY 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMNT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICA	APPLICATION NO. 10 10 10 10 10 10 10 10 10 10 10 10 10				ATTORNEY'S DOCKET NUMBER  49-001-TN		
The following	fees have been su	ubmitted				CALCULATIONS	PTO USE ONLY
21. X Basic	national fee	• • • • • • • • • • • • • • • • • • • •			\$300.00	\$ 300.00	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 200.00	
International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00						\$ 400.00	
TOTAL OF 21, 22 and 23 =						\$ 900.00	
listing or c	fee for specification omputer program lis action thereof.  Extra sheets						
		Number of each a up to a whole num				80.00	
26 -100 =	0 /50	=	0		x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$ 0.00	
CLAIMS		NUMBER FILED NUMBER EXTRA		RATE		s	.=
Total claims		14 - 20 =	0	Х	\$50.00	\$0.00	
Independent claims		2 - 3 =	0	X	\$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =						\$ 900.00	
X Applicant cla	aims small entity sta	tus. See 37 CFR 1.27. Th	he fees indicated above are reduce	d by ½.		\$ 450.00	
					SUBTOTAL =	\$ 450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$ 0.00	
TOTAL NATIONAL FEE =						\$ 450.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$ 40.00	
TOTAL FEES ENCLOSED =						\$ 490.00	
						Amount to be refunded:	s
						Amount to be charged:	\$
b. Pleas duplic c. X The C A dup	e charge my Deposi- rate copy of this she commissioner is here licate copy of this sl are to be charged to	et is enclosed.  eby authorized to charge a  heet is enclosed.  o a credit card. WARNING	ne above fees is enclosed.  any additional fees which may be re  it: Information on this form may becc.  Provide credit card information an	quired,	olic. Credit card	ment to Deposit Account N	over the above fees. A o. <u>50-1147</u> .
NOTE: Where an ap	propriate time limi	It under 37 CFR 1.495 has	s not been met, a petition to reviv				to restore the
International Applica		R I					
					SIGNATURE:		
Posz Law Group, PLC 12040 South Lakes Drive, Suite 101  David G. Post NAME						7	
					7,701 EGISTRATION NUME		

(1**4 m** 

## ATTORNEYS AT LAW

DAVID G. POSZ
JAMES E. BARLOW \*
BRIAN C. ALTMILLER
ROBERT L. SCOTT, II
CYNTHIA K. NICHOLSON

12040 SOUTH LAKES DRIVE, SUITE 101 RESTON, VA 20191

SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

TEL: (703) 707-9110 FAX: (703) 707-9112 WWW.POSZLAW.COM

NOT ADMITTED IN VIRGINIA PRACTICE LIMITED TO FEDERAL PATENT, TRADEMARK AND COPYRIGHT MATTERS

May 5, 2005

## PCT DO/EO/US HAND DELIVERY FILING RECEIPT

Applicant:

**Christian Pfau** 

For:

SHRINKING DEVICE

Docket:

49-001-TN

Attorney:

David G. Posz

Date of Deposit:

May 5, 2005

The following documents are being hand delivered to, and deposited with, the USPTO at the DO/EO/US, Customer Service Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Mail Stop PCT:

- Return receipt postcard;
- Completed Transmittal Letter Form PTO-1390, including fee calculation sheet;
- English language translation of the International Application (<u>22</u>-page specification and <u>4</u> sheets of formal drawings [Figs. 1 – 7]);
- 6-page preliminary amendment;
- executed declaration and power of attorney;
- executed assignment and recordation cover sheet; and
- Information Disclosure Statement with Form PTO-1449 (listing <u>5</u> references) and 2 non-U.S. patent references;
- Check for \$ 490.00 for payment of basic national stage filing fee and assignment recordation fee.